

## Environment Department Key Risks (Planning & Transportation Committee)



Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date/Risk Approach	Current Risk score change indicator
ENV-CO-HW 010 Car Parks: Fire Safety  02-Sep-2022 Ken Stone	<p><b>Cause:</b> Dilapidation of the car parks and the location of some car parks, e.g. London Wall car park is beneath the road where a fire or structural issue could have wider implications.</p> <p><b>Event:</b> Fire risk is increased and there is a greater likelihood of accidents and near misses within the car parks.</p> <p><b>Impact:</b> Serious injury or death; structural failure could have wider implications; vehicle damage; increased insurance claims; potential enforcement action and fines; reputational damage.</p>		24	<p>We are aiming to improve the safety of the car parks through replacing lighting, redecoration and FM projects. A range of projects are underway or being considered for future implementation which should help to reduce this risk.</p> <p>Funding bid for works on London Wall submitted on Tuesday 20/6/23.</p> <p><b>22 Jun 2023</b></p>		4	31-Dec-2023	
							Reduce	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-HW 010a	Monthly meetings are held with City Surveyor's Department (CSD) on the fire works project, and we request regular updates on progress.	CSD are going out to tender for the fire suppression works on the ventilation at London Wall car park. Works expected to commence Q3/4 at the earliest.	Ken Stone	22-Jun-2023	31-Mar-2024

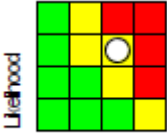
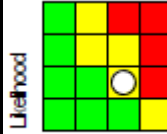
## Appendix 2

ENV-CO-HW 010b	A Fire Risk Assessment is carried out at each car park by an external body every 18 months.	The next Fire Risk Assessments are due to be carried out in August 2023.	Ken Stone	22-Jun-2023	30-Sep-2023
ENV-CO-HW 010c	Finalise the Fire Management Plan.	The Fire Management Plan has been drafted but we are waiting on the Life Care Plans for the Car Parks and for CSD to incorporate both the Fire Strategy and the Fire Management Plan into the Fire Care Plan. Monthly meetings for Life Care Plan with CSD are ongoing.	Ken Stone	22-Jun-2023	31-Mar-2024
ENV-CO-HW 010c	Consider ways to reduce speed within the car parks.	We are currently looking into possibilities for installing speed humps across the portfolio to reduce speed and the likelihood of accidents.	Ken Stone	22-Jun-2023	31-Dec-2023
ENV-CO-HW 010d	Improve lighting across all car parks to improve safety and reduce energy use.	There is an ongoing project led by the Energy Team to change all lighting across CoL buildings to LED. This will include the car parks.  Works starting in Tower Hill coach and car park in Q3. Smithfield has been completed. London Wall omitted due to the bid that has been submitted for major works which includes lighting and ventilation	Ken Stone	22-Jun-2023	31-Mar-2024
ENV-CO-HW 010e	Carry out lighting and ventilation works in Tower Hill car park to improve safety.	Changing to LED lighting and undertaking ventilation improvement works in Tower Hill Coach and Car Park has been agreed. Works are due to be completed by July 2023. The works start during Q3.	Ken Stone	22-Jun-2023	31-Mar-2024

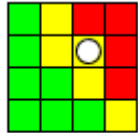
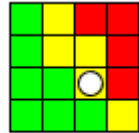



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ENV-CO-TR 0011	<p>A programme of projects to reduce road danger on the City's streets including:</p> <ul style="list-style-type: none"> <li>• Bank on Safety and All Change at Bank</li> <li>• RDR engineering programme</li> <li>• 15mph traffic limit</li> <li>• Ludgate Circus (lead by TfL)</li> </ul>	<p>Projects and programmes to reduce road danger include:</p> <ul style="list-style-type: none"> <li>• All Change at Bank – currently under construction.</li> <li>• St Paul's Gyratory – preferred option approved and now progressing through detailed design.</li> <li>• Pedestrian Priority Programme – traffic changes are being made permanent on Cheapside, King Street, Old Jewry, King William Street Threadneedle Street and old Broad Street. Construction of pavement widening underway on King Street. Experimental traffic restriction on Chancery Lane.</li> <li>• City Cluster pedestrian priority and traffic reduction – developing proposals for improvements to St Mary Axe and Leadenhall Street, to be coordinated with new developments.</li> <li>• Healthy Streets Minor Schemes – a range of smaller scale projects at various locations.</li> <li>• Moorgate - walking and cycling improvements, including at the Junction with Ropemaker Street.</li> <li>• Cycle programme – including Bevis Marks cycle lane and ongoing development of cycle route between Aldgate and Blackfriars.</li> </ul> <p>The draft Vision Zero Action Plan identifies 10 priority locations for future Safer Streets investment and a range of actions relating to changing streets to reduce road danger. This is due to be considered by the Police Authority Board in September and the Planning and Transportation Committee in October.</p>	Ian Hughes; Bruce McVean	29-Jun-2023	31-Mar-2024
ENV-CO-TR 001m	<p>Campaigns and engagement activities to encourage safe behaviours and promote safe vehicles, including:</p> <ul style="list-style-type: none"> <li>• Active City Network</li> <li>• User and stakeholder liaison</li> <li>• Schools programme</li> </ul>	<p>Campaigns and engagement activities are delivered in partnership with the City of London Police throughout the year, although there were no specific activities in the last quarter. We are continuing to provide cycle training, including professional cargo bike training. The draft Vision Zero Action Plan identifies a range of actions relating to Safer Behaviours. This is due to be considered by the Police Authority Board in September and the Planning and Transportation Committee in October.</p>	Ian Hughes; Bruce McVean	29-Jun-2023	31-Mar-2024

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ENV-CO-TR 003 Transport and public realm projects not delivered due to lack of funding  22-Jun-2023	<p><b>Cause:</b> Insufficient capital funding available or failure to secure sufficient capital funding through internal or external bidding processes.</p> <p><b>Event:</b> Funding for capital programme ceases or is significantly reduced.</p> <p><b>Impact:</b></p> <ul style="list-style-type: none"> <li>• Unable to deliver transport and public realm improvement projects.</li> <li>• Reduced delivery of City of London Transport Strategy.</li> <li>• Reduced delivery of transport elements of Climate Action Strategy.</li> <li>• Reduced delivery of projects that support Destination City.</li> </ul>	 <p>Likelihood</p> <p>Impact</p>	12	<p>New risk created. Impact of 4 (Major) reflects the potential for failure or delay in delivering corporate strategies and initiatives, including the Transport Strategy, Climate Action Strategy and Destination City. Likelihood of 3 (Possible) reflects current lack of TfL or other external funding and competing demands for CIL and OSPR funding.</p> <p><b>22 Jun 2023</b></p>	 <p>Likelihood</p> <p>Impact</p>	8	31-Mar-2029	Constant
							Reduce	

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ENV-CO-TR 003a	Submit prioritised OSPR and CIL bids for projects	Bids submitted in latest round for City Cluster Programme, Cheapside enhancement, King William Street reconstruction and repair (to enable Pedestrian Priority Programme improvements) and Bank junction traffic and timing review.	Bruce McVean	22-Jun-2023	31-Mar-2029
ENV-CO-TR 003b	Submit bids for TfL and other external funds as opportunities arise	No current opportunities but we continue to keep this under review	Bruce McVean	22-Jun-2023	31-Mar-2029
ENV-CO-TR 003c	Maximise the use of developer and other external (e.g. BIDs) contributions to support delivery of the Transport Strategy	Additional voluntary developer contribution secured for St Paul's Gyratory. We are approaching developers and BIDs for contributions towards a London Wall and Bevis Marks corridor study which will identify opportunities to coordinate and maximise benefits from s278.	Bruce McVean	22-Jun-2023	31-Mar-2029

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<p>ENV-PD-DS 001 The District Surveyor's (Building Control) Division becomes too small to be viable</p>	<p><b>Cause:</b> Reduced income causes the service to be unviable.  <b>Event:</b> Development market fails to maintain momentum or our market share shrinks.  <b>Impact:</b> Reduced staffing levels do not provide adequate breadth of knowledge and experience.</p>	<p>Lifehood</p>  <p>Impact</p>	<p>12</p>	<p>The plans to create a Local Authority Trading Company are still on hold and are being reviewed in the light of expected changes in the Building Control Regulation regimen that arose following the publication of the Hackett Report (on the Grenfell fire) and the Building Safety Bill. The new Act received Royal Assent in May 2022, with implementation for Building Control around October 2023. However, we are awaiting secondary legislation to understand the full impacts.</p> <p>In parallel, the City of London is working with other Boroughs under the London District Surveyors Association to deliver the anticipated new work under the Building Safety Act across London, which is expected to commence in October 2023. A report was approved by Planning &amp; Transportation Committee on 10 January 2023 for the District Surveyor's Office to act as the Single Point of Contact between the Regulator and London Boroughs known as the "HUB".                      Implementation date October 2023.                      District Surveyor working closely with building safety regulator to implement HUB. HUB is now being written into all necessarily HSE guidance.</p> <p>Recruitment and retention of building</p>	<p>Lifehood</p>  <p>Impact</p>	<p>8</p>		

## Appendix 2

25-Mar-2015 Gordon Roy				control staff remains a concern. A new work force plan has been agreed which includes increases in market forces supplements. <b>22 Jun 2023</b>			Accept	Constant
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ENV-PD-DS 001a	(1) Continue to provide excellent services [evidenced by customer survey]; (2) Maintain client links with key stakeholders; (3) Continue to explore new income opportunities; (4) Continue to undertake cross-boundary working. (5) Involvement with developers as part of the planning application process.	Business as usual controls have been reviewed and are suitable.	Gordon Roy	22-Jun-2023	31-Dec-2023
ENV-PD-DS 001c	Following approval by P & T Committee, a Business Plan is being developed and will be presented to Members for consideration in due course.	District Surveyor attending numerous workshops with building safety regulator to develop working procedures for the HUB. Implementation date October 2023.	Gordon Roy	22-Jun-2023	31-Oct-2023

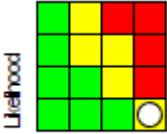
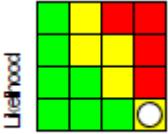
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ENV-PD-PD 007 Adverse planning policy context  06-Mar-2015 Rob McNicol	<b>Cause:</b> A desire in Government and others to change the existing planning system in a way which may be detrimental to the City. <b>Event:</b> Changes detrimental to the City are implemented. <b>Impact:</b> Adverse changes cannot be prevented using local planning control.		12	The risk is considered to be unchanged since its last assessment. There appear to have been some delays to the government planning reforms.  <b>22 Jun 2023</b>		12		Constant
							Accept	

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ENV-PD-PD 007a	(1) Ongoing monitoring of government regulations; (2) continue monitor progress of, and seek to influence, forthcoming legislation.	Government have consulted on proposed changes to the National Planning Policy Framework (NPPF); these have been reviewed and a consultancy response has been submitted. The risk will be reviewed again once the government have published their proposed approach.	Rob McNicol	22-Jun-2023	31-Dec-2023

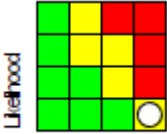
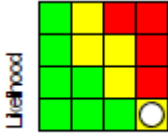


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ENV-CO-HW 002 Service/Pipe Subways 02-Dec-2015 Ian Hughes; Giles Radford	<p><b>Cause:</b> Safe access and egress for utilities and maintenance functions is required, whilst having operatives entering the confined space to undertake checks.</p> <p><b>Event:</b> A lack of Oxygen; poisonous gases, fumes and vapour, liquids and solids that suddenly fill spaces; fire and explosions; hot conditions; entrapment and falling debris.</p> <p><b>Impact:</b> Fatality / major injury / illness.</p>	 <p>Likelihood</p> <p>Impact</p>	8	The risk assessment is still suitable and sufficient.  27 Jun 2023	 <p>Likelihood</p> <p>Impact</p>	8	Accept	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-CO-HW 002a	<p>Confined space working is avoided when possible. All PPE and other equipment required for a SSOW shall be suitable and sufficient for the tasks identified. Suitable PPE and equipment shall be provided, as stated in the approved code of practice.</p> <p>All openings are controlled through a central booking system. A subway must not be entered if permission to do so has been refused.</p> <p>No booking will be granted to parties who are not on the database. If the contractor is not on the database, they must seek approval from CoL regarding their works. Once confirmed, the contractors will be added to the system before agreeing access.</p> <p>All works and operatives entering the pipe subway must comply with the code of practice for access and safe working in local authority subways.</p> <p>Regular inspections of the structure, covers, condition and asbestos surveys are undertaken.</p> <p>The Permit to Enter form must be completed and contractors checked to ensure they have suitable and sufficient equipment to enter a confined space.</p> <p>No smoking is allowed at any time.</p>	<p>This is an ongoing action.</p> <p>All business as usual mitigations have been reviewed: they are very much current and continue to work effectively.</p>	Giles Radford	27-Jun-2023	31-Dec-2023

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ENV-PD-DS 003 Inspecting Dangerous Structures 24-Nov-2015 Gordon Roy	<p><b>Cause:</b> Officers involved in inspecting a dangerous structure.</p> <p><b>Event:</b> Any of the following: (a) structural failure or building collapse; (b) falling object(s); (c) fire; (d) live electrics; (e) toxic substances; and/or (f) trips and falls.</p> <p><b>Impact:</b> Ranging from minor injury to death.</p>	 <p>Likelihood</p>	8	Risk is unchanged and remains valid.  22 Jun 2023	 <p>Likelihood</p>	8	Accept	Constant

Action no	Action description	Latest Note	Action owner	Latest Note Date	Due Date
ENV-PD-DS 003a	<p>Emergency Planning procedures in place - only authorised personnel to respond to Dangerous Structures call-outs and enter buildings.</p> <p>Take advice from Fire Brigade and emergency services.</p> <p>PPE issued and monitored.</p> <p>ISO9001:2015 Accredited (Quality Management Systems in place)</p>	All mitigation measures in place.	Gordon Roy	22-Jun-2023	31-Mar-2024